

Provider Alert

New York Executive Order No. 4

On September 27, 2021, NY Governor Kathy Hochul issued Executive Order No. 4 to address the current hospital staffing shortages. The Executive Order No 4 can be found [here](#).

On October 27, 2021, Gov. Hochul issued Executive Order No. 4.1: Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.1 can be found [here](#).

On November 26, 2021, Gov. Hochul issued Executive Order No.4.2: Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.2 can be found [here](#).

Insurance Circular Letter No.9 (2021) requires all NY hospitals that are experiencing staffing shortages and wish to request certain Utilization Reviews (UR) and/or Appeals suspensions to submit a completed Certification form to all Carriers. The Certification to Support Suspensions of the Utilization Review Consistent with Executive Order No. 4 can be found [here](#).

Both **in-network** and **out-of-network** hospitals can submit a completed Certification form to request the suspensions.

The Certification form will tell carriers what Utilization Review suspensions (Prior Authorization, Concurrent Review and/or Retrospective Review) and Appeals suspensions (Internal and External) that hospital is requesting. Hospitals can request all or only some.

Optum Behavioral Health will continue to monitor this situation and follow all applicable guidelines from the State of New York.